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(71) Applicant: **E-VISION, LLC** [US/US]; 2840 Hershberger  
Road, Suite A, Roanoke, VA 24017 (US).

(72) Inventors: **BLUM, Ronald, D.**; 5320 Silver Fox Road,  
Roanoke, VA 24014 (US); **DUSTON, Dwight, P.**; 59 Fair  
Lane, Laguna Niguel, CA 92677 (US); **KOKONASKI,**  
**William**; 1807 44th Street Court N.W., Gig Harbour, WA  
98335 (US); **KATZMAN, Youval**; Yigal Alon Street, #9,  
30900 Zichron Yaaqov (IL); **KATZMAN, Dan**; Hgemek  
14, 23800 Givat Ela (IL); **EFRON, Uzi**; 6 Hayarden Street,  
#5, 46377 Herzliya (IL); **GROSSINGER, Israel**; Yaacov

Street, #19, 76262 Rehovot (IL); **MEREDITH, Gerald**;  
4529 N. Via Entrada, #214, Tucson, AZ 85718 (US); **KIP-**  
**PELEN, Bernard**; 730 E. Calle Guaymas, Tucson, AZ  
85716 (US); **MATHINE, David**; 3354 East Lester Street,  
Tucson, AZ 85716 (US); **FAINMAN, Yeshaiah**; 4729  
Shadwel Place, San Diego, CA 92130 (US); **THIBOS,**  
**Larry, N.**; 1809 Windsor Drive, Bloomington, IN 47401  
(US); **MILLER, Donald, T.**; 2411 Goldin Court, Bloom-  
ington, IN 47401 (US).

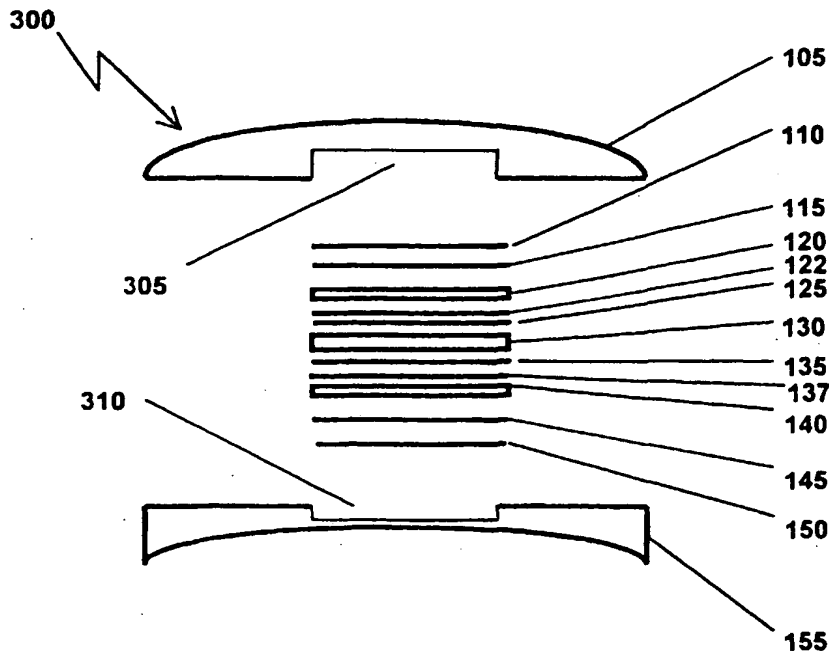
(74) Agents: **WELLS, William, K.** et al.; Kenyon & Kenyon,  
Suite 700, 1500 K Street, N.W., Washington, DC 20005  
(US).

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(54) Title: HYBRID ELECTRO-ACTIVE LENS



(57) Abstract: An electro-active lens (100, 200, 300) that may include first (110, 115, 120, 122, 125) and second (135, 137, 140, 145, 150) electro-active cells, having controlled birefringence (e.g. a Nematic liquid crystal) the cells being adjacent to and stacked upon each other and, when in a resting state, oriented orthogonal to each other to reduce birefringence.

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## HYBRID ELECTRO-ACTIVE LENS

### FIELD OF THE INVENTION

The present invention generally regards lenses. More specifically the present invention regards composite electro-active lenses.

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### BACKGROUND

Generally, a conventional lens has a single focal length to provide a particular visual acuity. The lens may be produced for a particular lens wearer or application where there is no change in visual acuity or no need to modify the visual acuity for different viewing distances. As such, a conventional lens may provide limited use.

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A bifocal lens was created to provide multiple focal lengths for the lens wearer or application where there is a need for varying visual acuity, for example, for reading and distance vision. However, this bifocal lens has fixed focal length regions, which also provides limited use.

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In each of these examples, the lens is ground from a single material.

### BRIEF DESCRIPTION OF THE DRAWINGS

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Figure 1 is an exploded cross-sectional view of an electro-active lens in accord with an embodiment of the present invention.

Figure 2 is a side cross-sectional view of an electro-active lens in accord with an alternative embodiment of the present invention.

5 Figure 3 is an exploded cross-sectional view of an electro-active lens in accord with another alternative embodiment of the present invention.

Figure 4 is an exploded cross-sectional view of an electro-active lens in accord with another alternative embodiment of the present invention.

10 Figure 5 is a side cross-sectional view of an electro-active lens in accord with another alternative embodiment of the present invention.

15 Figure 6 is a front view of electrical concentric loops used to activate an electro-active lens in accord with another alternative embodiment of the present invention.

Figure 7 illustrates exemplary power profiles of an electro-active lens in accord with another alternative embodiment of the present invention.

20 Figure 8 is a side cross-sectional view of an electro-active lens that provides near and intermediate vision in accord with another alternative embodiment of the present invention.

25 Figure 9 is a side cross-sectional view of an electro-active lens that provides near and intermediate vision in accord with another alternative embodiment of the present invention.

Figure 10 is a cascade system of electro-active lenses in accord with another alternative embodiment of the present invention.

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Figure 11 illustrates error quantization produced in a conventional cascade system.

Figure 12 illustrates error quantization eliminated by a cascade system of electro-active lenses in accord with another alternative embodiment of the present invention.

5 Figure 13 illustrates a flying capacitor circuit to provide drive voltage waveforms to embodiments of an electro-active lens of the present invention.

### **DETAILED DESCRIPTION**

Embodiments of an electro-active lens of the present invention may be a  
10 composite lens made up of various components, including optically transmissive material, e.g., liquid crystals, that may have variable refractive indices. The variable focal lengths may be provided, for example, by diffractive patterns etched or stamped on the lens or by electrodes disposed on the optically transmissive material of the lens. The diffractive patterns  
15 refract light entering the optically transmissive material, thereby producing different amounts of diffraction and, hence, variable focal lengths. The electrodes apply voltage to the optically transmissive material, which results in orientation shifts of molecules in the material, thereby producing a change in index of refraction, this change in index of refraction can be used to match  
20 or mismatch the index of the liquid crystal with the material used to make the diffractive pattern. When the liquid crystal's index matches that of the diffractive patterns material the diffractive pattern has no optical power and therefore the lens has the focal lens of the fixed lens. When the index of refraction of the liquid crystal is mismatched from that of the material used to  
25 make the diffractive pattern, the power of the diffractive pattern is added to the fix power of the lens to provide a change in the focal length of the lens. The variable refractive indices may advantageously allow a lens user to change the lens to a desired focus, have bi-, tri-, or multi-focal viewing distances, etc. in a single lens. The electro-active lens may also reduce or  
30 eliminate birefringence, which has been known to be a problem with some lens. Exemplary applications of an electro-active lens include eyeglasses, microscopes, mirrors, binoculars, and any other optical device through which a user may look.

Figure 1 shows an embodiment of an electro-active lens in accord with the present invention. This embodiment includes two refractive cells that may be used to reduce or eliminate birefringence in the lens. The refractive  
5 cells may be aligned orthogonal to each other if the electro-active material is, by way of example, a nematic liquid crystal, thereby reducing or eliminating the birefringence created by the aligned liquid crystal. This embodiment may provide applied voltage to produce variable refractive indices in the lens. The embodiment may be used in eyeglasses, for example, to allow the  
10 eyeglasses' wearer to change the refractive index and, hence, focus. The first refractive cell of electro-active lens 100 may include electrodes 110, 125, alignment layers 115, 122, and liquid crystal layer 120. The second refractive cell of electro-active lens 100 may include electrodes 135, 150, alignment layers 137, 145, and liquid crystal layer 140. Separator layer 130  
15 may separate the first and second cells. Electro-active lens 100 may also include front and rear substrate components 105, 155, between which the two refractive cells may be disposed. Electrodes 110, 125, 135, 150 may apply voltage to liquid crystal layers 120, 140 to produce the variable refractive indices.

20 Front component 105 may possess a base curvature for producing distance vision in electro-active lens 100. Front component 105 may be made from optical grade glass, plastic, or a combination of glass and plastic, for example. The back of front component 105 may be coated with a  
25 transparent conductor such as ITO, tin oxide, or other electrically conductive and optically transparent materials, to form electrode 110. In embodiments where the electro-active area of the lens is smaller than the entire lens assembly 100, electrode 110 may be solely placed over the electro-active area of lens 100 to minimize power consumption.

30 Electrode 110 may be coated with alignment layer 115 to provide orientation to liquid crystal layer 120 or any other variable index polymeric material layer. The molecules in liquid crystal layer 120 may change their

orientation in the presence of an applied electrical field, resulting in a change in the index of refraction experienced by an incident ray of light. Liquid crystal layer 120 may be nematic, smectic, or cholesteric, for example.

Exemplary nematic phase crystals include 4-pentyl-4'-cyanobiphenyl (5CB) and 4-(n-octyloxy)-4'-cyanobiphenyl (8OCB). Other exemplary liquid crystals include the various compounds of 4-cyano-4'-(n-alkyl)biphenyls, 4-(n-alkoxy)-4'-cyanobiphenyl, 4-cyano-4'-(n-alkyl)-p-terphenyls, and commercial mixtures such as E7, E36, E46, and the ZLI-series made by BDH (British Drug House)-Merck.

Another alignment layer 122 may be disposed on the other side of liquid crystal layer 120, typically over electrode 125. Electrode 125 may be produced in a similar manner as electrode 110 and may complete one cell of electro-active lens 100. The driving voltage waveform may be applied across electrodes 110 and 125.

After separator layer 130, the next cell may be disposed such that it is orthogonally aligned from the first cell. Separation layer 130 may support electrode 125 of the electro-active lens' first cell on one side and electrode 135 of the electro-active lens' second cell on the opposite side. Separation layer 130 may be constructed from an optical grade plastic, such as CR39<sup>TM</sup>, glass, or other polymeric materials. The electro-active material in the second cell is preferably aligned to the orientation of alignment layers 137, 145 applied to the electrodes 135, 150. A preferred orientation may be such that alignment layers 115 and 122 in the first cell are orthogonally oriented to alignment layers 137 and 145 in the second cell. The second cell may also include liquid crystal layer 140 as described above. The second cell may be completed with electrode 150 deposited on rear component 155. Rear component 155 may be constructed from similar materials as front component 105 and may possess a curvature that completes the distance power of electro-active lens 100.

If the distance power of electro-active lens 100 includes astigmatic correction, either front component 105 or rear component 155 may be toric and properly oriented relative to the astigmatic correction that the lens wearer needs.

5

In an alternate configuration, a single alignment layer may be used in each cell. In this embodiment, either alignment layer 120, 122 may be removed from the first cell of electro-active lens 100 and either alignment layer 137, 145 may be removed from the second cell. Alternatively, if electrodes 110, 125, 135, 150 have an orientation, then electrodes 110, 125, 135, 150 may align liquid crystal layers 120, 140. Hence, all alignment layers 120, 122, 137, 145 may be removed.

10

Optical power can be produced in embodiments of the present invention by creating diffractive patterns on the back surface of front component 105, the front surface of rear component 155, or both. Optical power can also be produced by creating diffractive patterns on one or both sides of separator layer 130 instead of, or in addition to, diffractive patterns placed on components 105, 155. In fact any combination of placement of diffractive patterns described above is possible and considered within the scope of the present invention.

20

Diffractive patterns can be created using a number of techniques including machining, printing, or etching. When diffractive patterns are used to produce the optical power, liquid crystal layers 120, 140 can be used to match the refractive index of all the layers in order to hide the additive power of the diffractive pattern in one index state, and to mismatch the refractive index in all the layers in order to reveal the power of the diffractive pattern in the other index state, where each state may be defined by whether the applied voltage (or electric field) is on or off.

25

30

Figure 2 shows an alternate embodiment of an electro-active lens in accord with the present invention. This embodiment includes a construction



of a double liquid crystal cell 200 of an electro-active lens, including diffractive patterns for producing variable optical power. This embodiment may be used in eyeglasses, for example, to provide variable optical power throughout the entire lens. This embodiment may also advantageously  
5 alleviate problems associated with using diffractive patterns in an electro-active lens, e.g., oblique electric field lines, polymer substrate birefringence, and difficulty of lens component index matching. Double liquid crystal electro-active cell 200 may include front and rear substrate components 105, 155, electrodes 110, 125, 135, 150, alignment layers 115, 145, liquid crystal  
10 layers 120, 140, transparent conductor coated substrate 210, and polymer surfaces 220, 230.

Front and rear components 105, 155, electrodes 110, 125, 135, 150, alignment layers 115, 145, and liquid crystal layers 120, 140 may perform  
15 similar functions and be constructed of similar materials as those in Figure 1. In this embodiment, front component 105 may be coated with a transparent conductor to form electrode 110. Electrode 110 may be coated with alignment layer 115. Liquid crystal layer 120 may be adjacent to alignment layer 115. As in Figure 1, molecules of liquid crystal layer 120 may change  
20 their orientation in the presence of an applied electrical field.

Polymer surface 220 may include a diffractive lens pattern etched or stamped on a surface of polymer 220. The diffractive pattern on polymer surface 220 may be fitted against a diffractive pattern etched or stamped on  
25 a surface of liquid crystal layer 120. Electrode 125 may be adjacent to polymer surface 220 and formed from, e.g., ITO. Electrode 125 may be deposited on one side of thin substrate 210, made from, by way of example only, glass or ophthalmic grade plastic. Substrate 210 may be birefringence-free. Electrode 135 may be deposited on the other side of  
30 substrate 210 and formed from, e.g., ITO.

Polymer surface 230 may be adjacent to electrode 135. Polymer surface 230 may include a diffractive lens pattern etched or stamped into a surface

of polymer 230. The diffractive pattern of polymer surface 230 may be placed against the liquid crystal layer 140. As in Figure 1, molecules of liquid crystal layer 140 may change their orientation in the presence of an applied electrical field. Alignment layer 145 may be disposed on the electrode 150. Electrode 150 may be adjacent to alignment layer 145 and deposited on rear component 155 to complete double liquid crystal electro-active cell 200.

PMMA (or other suitable optical polymeric material) may be spun-coated in a range of 2 to 10 microns thickness, with a preferable range of 3 to 7 microns, on both sides of substrate 210 after electrodes 125, 135 have been deposited on substrate 210.

Additionally, liquid crystal alignment surface relief (not shown) in a form of sub-micron gratings may be stamped or etched onto diffractive lens-patterned surfaces 220, 230.

There may be many advantages to this embodiment. First, electrodes 125, 135 underneath the PMMA layers may help maintain perpendicular, non-oblique electric field lines to opposing electrodes 110, 150. This may overcome the de-focusing phenomenon of oblique E-field lines present in designs where transparent conductors are placed directly over the diffractive pattern. The de-focusing phenomenon may occur when the oblique field lines generate an oblique electric field near the diffractive lens surfaces, preventing a full 90° liquid crystal tilt angle at these surfaces upon the application of an electric field. This in turn may result in the appearance of a second "ghost" focus in the On-State, thus degrading the performance of the electro-active lens. Embodiments of the present invention may overcome this "ghost" focus.

Second, the use of the inventive buried electrode structure may provide a solution to the matching of the refractive indices of liquid crystal layers 120, 140 to that of the contacting substrate, in this case lens-patterned polymeric

surfaces 220, 230. Thus, where transparent conductors are placed directly over the diffractive pattern and include, for example, an ITO coating ( $n_{\text{ITO}} \approx 2.0$ ), the transparent conductors may not index-match the liquid crystal's ordinary index (typically  $n_{\text{LC}} \approx 1.5$ ). This can make electrodes 125, 135 visible to the naked eye and present a problem with the cosmetic quality of the electro-active lens. Accordingly, in the embodiment of Figure 2, liquid crystal layers 120, 140 may now have a matched index to the PMMA substrate, which may be ( $n_{\text{sub}} \approx 1.5$ ), thereby "hiding" electrodes 125, 135 from view.

Third, using patterned, spin-coated PMMA on a birefringence-free substrate, such as glass or ophthalmic grade plastic, may be used to solve the problem of substrate birefringence. That is, the substrate itself may be relatively free from birefringence and the thin, spun-coat PMMA may also have negligible birefringence.

Figure 3 shows another alternate embodiment of an electro-active lens in accord with the present invention. In this embodiment, the electro-active region of an electro-active lens 300 covers only a portion of lens 300. This embodiment may be used in bi-focal eyeglasses, for example, to provide a variable refractive index in only a portion of the lens. In Figure 3, lens 300 includes dual cells and multiple layers, as in Figure 1. The layers may be disposed within recesses 305 and 310 on front and rear components 105 and 155, respectively. Recesses 305, 310 may accommodate the layers, allowing the layers to be easily sealed in lens 300. Components 105, 155 may be made from glass or ophthalmic grade plastic, for example.

Embodiments may include a fail-safe mode, in which the electro-active lens reverts to a plano, unmagnified state when voltage is no longer applied. As such, the electro-active lens provides no optical power in the absence of electrical power. This mode is a safety feature for instances where the power supply fails.

In an embodiment of the present invention, the chromatic aberrations in the cell may be reduced by designing one cell to transmit light with a wavelength slightly longer than green light (550nm) and the other cell for a wavelength slightly shorter than green light. In this embodiment, the two cells can correct both the birefringence and the chromatic aberration at the same time.

Without a significant difference in index of refraction between the diffractive pattern surface and the liquid crystal layer, there may be no power contributed to the lens by the diffractive pattern. In such embodiments the electro-active power of lens is created by the diffractive pattern(s), but only when there is a significant amount of index difference, between the liquid crystal and the diffractive pattern surface.

Figure 4 shows another embodiment of an electro-active lens in accord with the present invention. In this embodiment, the electro-active region of electro-active lens 400 is encapsulated in casing 405 and covers only a portion of lens 400. This embodiment may also be used in bi-focal eyeglasses, for example, to provide a variable refractive index in only a portion of the lens. In this embodiment, electro-active lens 400 includes front and rear components 105, 155, a casing 405, and electrical connectors 410. Front component 105 includes a recess 305 and rear component 155 includes a recess 310. The layers of electro-active lens 400 may be encapsulated in casing 405. Electrical connectors 410 made from transparent conductors may be placed on a thin plastic strip and connected to casing 405. The plastic strip may be mostly index-matched to components 105, 155. Voltage may be applied to casing 405 through electrical connectors 410 in order to change the refractive indices of the electro-active region. Casing 405 may be placed between recesses 305, 310. Encapsulated casing 405 may also be molded into a semi-finished blank that may be surfaced to a desired distance power. Alternatively, encapsulated casing 405 may be placed in recess 310 of rear component 155 which could later be surface cast to lock casing 405 in place and complete the desired

distance power. Casing 405 may be made of plastic, glass, or other suitable optical grade material and index-matched to the refractive index of components 105, 155.

5        Figure 5 shows another alternate embodiment of an electro-active lens in accord with the present invention. In this embodiment, an electro-active lens 500 may be formed by placing an electro-active lens capsule 505 into a recess 510 on top of the electro-active lens' front component 525. This embodiment may also be used for bi-focal eyeglasses, for example, to  
10        provide a variable refractive index in only a portion of lens 500. In this embodiment, the electro-active region may be placed on top of a lens and then sealed onto the lens to create a continuous surface. Thin film conductors 520 may be attached to lens capsule 505 and electrically connected to a conductive contact 515 on the surface of front component  
15        525. Rear component 520 may be attached to front component 525 to help provide a desired distance power. After electro-active capsule 505 is placed in recess 510 of front component 525, the front surface of front component 525 may be sealed using, for example, a surface casting technique with index matched material or simply filled with index-matched material and  
20        polished to an optical finish. This structure may advantageously provide mechanical stability, ease of edging and fitting into a lens frame, and ease of electrical connection to the electro-active material, in addition to reducing or eliminating birefringence.

25        Figure 6 shows an embodiment of electrical concentric loops that may be applied to electro-active material in an electro-active lens in accord with the present invention. Electrical concentric loops 600 may be the electrodes used in an electro-active lens to apply voltage to the lens. For example, in Figure 1, loops 600 may be positioned in place of electrodes 110, 125, 135,  
30        150.

In Figure 6, the loops emulate a diffractive pattern with integer multiples of  $2\pi$  phase wrapping. Phase wrapping is a phenomenon in which the

phase of the light is repeated (or "wrapped") at various locations or zones along the electro-active lens diameter. The patterned electrode structure 600 includes four (4) phase-wrapping zones. The more central electrodes 610 may be thicker than the electrodes 620 further from the center. As can  
5 be seen from Figure 6, a group of four electrodes 630 makes up each phase-wrapping zone. While four electrodes are used in each zone in Figure 6, more electrodes can be used in each zone to increase the optical efficiency of the device.

10 The four electrodes in the lens may be four patterned ones. Alternately, the electrodes may be two patterned and two solid ones. The second patterned electrodes may be used to dither the focusing of the electro-active lens to compensate for strong chromatic aberration. Additionally, this embodiment may provide for sequential focusing strength without complex  
15 electrical interconnects.

Electrical contacts (not shown) can be made to the electrodes through thin wires or conductive strips at the edge of the lens or by a set of conducting vias down through the lens. The electrodes 600 may be  
20 patterned in either or both of the two cells within the lens. In a dual cell design, it is also possible to use one cell with diffractive patterns and one cell with patterned electrodes so long as the powers are matched enough to address the birefringence.

25 When creating a diffractive pattern with concentric loop electrodes 600, a refractive material activated by electrodes 600 may impress a phase transformation upon an incident light wave. This embodiment emulates the conventional lens by using a flat structure with variable phase retardation from the center of the structure outward. The variable phase retardation  
30 may be accomplished by applying variable voltages to different electrodes 600, which in turn, modify the refractive index profile of the electro-active material. An automatic fail-safe mode may provide no power in the electro-

active material in the absence of applied voltage, so the electro-active lens automatically reverts to plano in the event of a power failure.

The electro-active portion of the lens may be thin, for example less than a  
5 fraction of a millimeter in total thickness. In order to attain this thinness, the  
present invention makes use of the fact that, for sinusoidally varying waves,  
phase shifts of  $2\pi$  multiples carry no physical significance. In other words,  
the phase of the incoming light may be "wrapped" along convenient closed  
curves within the lens. The circular zone boundaries of the classical zone  
10 plate are examples. Thus useful phase transformations and significant  
optical power can be achieved when the controllable throw of an electro-  
active lens is only a few waves of retardation.

The spatial variations of the phase retardation in the electro-active lens  
15 may be determined based on the particular application. The variations may  
be determined by the spacing of electrodes 600, which can be electronically  
addressed, powered, and established on the interior of the electro-active  
lens. In an exemplary nematic liquid crystal configuration, where the crystals  
act as uniaxial media, light traveling through the crystal may be restricted to  
20 extraordinary polarization. Otherwise, two liquid crystal cells may be used in  
tandem, rotated 90 degrees out of phase from normal in order to swap their  
ordinary and extraordinary directions of polarization, thus eliminating  
birefringence. Each of these configurations provides a particular index of  
refraction. To avoid long-term decomposition of the liquid crystals, electrical  
25 polarization between dual cells, and random transient voltages in the spaces  
between electrodes, the electrodes may be driven with frequency- and  
phase-synchronized AC voltages. Exemplary frequencies include 10 kHz  
and exemplary high voltages range from 5 to 10 V, preferably a maximum  
between 6 and 8 V. Alternatively, lower voltages are desirable for  
30 compatibility with low power. CMOS drive circuitry may be used, such that  
electro-active materials may provide adequate index changes at less than 5  
or 6 volts.

In one embodiment, phase-wrapping zones may include few electrodes, with zones closer together. Alternatively, electrodes with higher resistance material may be used to smooth fringing fields (so called "phase sag"). In another embodiment, a second phase transformation may be cascaded onto the first by patterning another electrode 600 within the same cell, rather than using it simply as a continuous ground plane.

An exemplary fabrication method for an electro-active lens of the present invention includes fabricating a window into the electrode pattern of the lens and interconnecting the electrodes and the electrical contact pads. A second window may be connected to electrical ground. Next, liquid crystal alignment layers may be deposited on both windows and treated. Two appropriately oriented windows may be made into a liquid crystal cell by establishing spacing between the windows with glass-spacer-containing epoxy, for example, and then filling the established spacing with the liquid crystals and sealing the windows together with epoxy. The windows may be laterally shifted to make electrical connection by simple pressure attachments to the electrical contact pads. The electrode and interconnection patterns may be established using photolithography with CAD generated masks. Developing, etching, and deposition techniques may be used. In an alternate design, multi-layers with simple conducting inter-level connecting vias may be used to avoid interconnection crossings.

In designing electrodes 600, the electrode zone boundaries may be placed at multiples of  $2\pi$ , consistent with conventional phase wrapping. So for boundary placements at every  $2m\pi$ , the radius of the  $n$ th wrapping is given by the expression:

$$\rho_{nm} = [2 n m (\lambda f)]^{1/2} \quad (1)$$

Each zone contains multiple electrodes. If there are  $p$  electrodes per zone, then Equation (1) can be modified to



$$\rho_{lnm} = [2 k m (\lambda f)/p]^{1/2}$$

(2)

$$k = [p (n-1) + l] = 1, 2, 3, 4, \dots$$

(3)

5 where  $l$  is an index running from 1 to  $p$  for the intra-zone electrodes and  $k$  is an index which counts sequentially outward, maintaining the sequence of electrode boundaries as square roots of the counting numbers  $k$ . To raise adjacent electrodes to different voltages, insulating spaces may be inserted between the electrodes. The sequence of electrodes may be separated by  
 10 circles with radii increasing as the square root of the counting numbers. All electrodes with the same index  $l$  may be ganged together with electrical connections shared between them since they are intended to produce the same phase retardation, thereby reducing the number of different electrical connections to the electrodes.

15

Another embodiment provides for setting a phase delay in an electro-active lens of the present invention with thickness variations. In this embodiment, the applied voltage to each electrode loop may be tuned until the phase delay of the lens attains the desired value. Accordingly, individual  
 20 loops may have different voltages applied constantly to create the appropriate phase delay. Alternatively, the same voltage may be applied to all the electrodes in a zone and different voltages applied to different zones.

Another embodiment provides for setting a different phase delay at the  
 25 edges of a lens of the present invention because of oblique light rays. Oblique rays are light rays that are refracted by the lens and invariably travel outward through the lens edges. Accordingly, the oblique rays travel farther distances, such that they are significantly phase-delayed. In this embodiment, the phase delay may be compensated for by applying a  
 30 predetermined constant voltage to the electrodes at the lens edges. Alternatively, the electrodes at the lens edges may create a voltage drop such that the refractive index at the edges is appropriately modified to

compensate for the phase delay. This voltage drop may be achieved by tailoring the electrode conductivity or thickness accordingly, for example.

It may be understood that electrodes 600 are not limited to concentric loops, but may be any geometric shape or layout depending on the particular application, including pixels, for example. The layout may be restricted only by fabrication limitations, by electrical connection and electrode separation restrictions, and by the complexities of the interplay of the non-local elastic behavior of liquid crystal directors with electric fringe-fields at small dimensions. Additionally, the layout of electrodes 600 may be defined by the shape of the electro-active lens.

Figure 7 illustrates examples of power profiles for an embodiment of the electro-active lens of the present invention. These power profiles may serve two purposes: to help hide the electro-active cell from an observer looking at the lens wearer and to provide intermediate power.

In this example, an electro-active lens 700 includes a distance-viewing portion 705 that makes up a majority of lens 700 and an electro-active cell portion 710 that is placed in an off center position with both vertical and horizontal de-centration. Electro-active cell 710 may include a central power zone 711, an intermediate power zone 712, and an outer power zone 713.

A power profile 715 illustrates a target profile for electro-active cell 710. Since cell 710 may be produced with either diffractive elements or discrete pixellation, the actual power profile may not be perfectly smooth such that there may be slight discontinuities between adjacent elements or pixels. In one embodiment, central zone 711 of cell 710 may mostly possess desired addition power and may be from 10 to 20 mm wide, with a preferred width of 10 to 15 mm. Moving outward from center zone 711 is intermediate zone 712, which may be a power transition area from 2 to 10 mm wide, with a preferred width of 3 to 7 mm. The center of intermediate zone 712 may be

approximately one half the desired reading power. Outer zone 713 may be 1 to 10 mm wide with a preferred width of 2 to 7 mm and may be used to provide a transition from intermediate zone 712, having half addition power, to distance-viewing portion 705 where the power becomes the distance power.

Another power profile 720 illustrates another embodiment of electro-active cell 710. In this embodiment, central zone 711 may make up the reading zone and, preferably, be between 10 and 20 mm wide or wider.

Outside of central zone 711, the power may drop to half the reading power in intermediate zone 712. Intermediate zone 712 may be from 2 to 10 mm wide, with a preferred width of 3 to 7 mm. Again, outer zone 713 may be used to blend from intermediate to distance power and may have a preferred width of 2 to 7 mm.

A third power profile 725 illustrates another embodiment of electro-active cell 710. In this embodiment, central zone 711 may again provide mostly the desired addition power, but may be much wider, perhaps as wide as 30 mm, with a preferred width between 10 and 20 mm. Intermediate and outer zones 712, 713 may be used to transition to the distance power and may combine for a preferred width of 3 to 6 mm.

It may be understood that there may be many power profiles. For example, if the electro-active area encompasses the entire lens as shown in Figure 1, the transitioning and blending of powers could take place over a much larger dimension.

Identical or slightly different power profiles for each individual cell in an electro-active lens may be used to optimize the effective power profile of the lens. For example, in correcting birefringence, identical power profiles in each cell may be used.

It may be understood that an electro-active portion of a lens, the lens itself, or both the electro-active portion and the lens may be round, oval, elliptical, rectangular, square, half round, rectangular with rounded corners, inverted horseshoe-shaped, rectangular with the longer length in the vertical direction and the shorter length in the horizontal direction, a combination of  
5 geometric shapes, or any other geometric shape as desired for the particular application.

Figure 8 illustrates a side cross-sectional view of an electro-active lens  
10 with near and intermediate vision in accord with an embodiment of the present invention. In this embodiment, an electro-active lens 805 may be placed in front of an eye 810 of the lens wearer to serve as eyeglasses, for example. Accordingly, lens 805 may provide near, intermediate, and distance viewing to the lens wearer. When the electro-active cells are not  
15 optically activated, the power of the entire lens 810 may have the required refractive power to correct the distance vision of the lens wearer. When the electro-active cells are activated in such a way that the electro-active region becomes optically effective, an intermediate zone 815 can be centered essentially about the normal line of sight when the lens wearer of the electro-  
20 active lens is looking straight ahead. The vertical width of intermediate zone 815 can be between 6 and 15 mm (the sum of the two halves which are between 3 and 7 mm), with a preferred vertical width of 6 to 8 mm. A reading (or near) zone 820 of the electro-active region may be centered at a height that represents where the lens wearer is looking through the lens  
25 during normal reading posture, with roughly half the vertical width centered about this point on the lens. The vertical width of reading zone 820 can be between 10 and 20 mm, with a preferred vertical width of between 12 and 16 mm. The horizontal and vertical widths of reading zone 820 may be equal for a circular reading zone. The horizontal width of intermediate zone 815 may  
30 vary depending upon the size of reading zone 820 and the vertical width of intermediate zone 815.

Figure 9 illustrates a side cross-sectional view of an electro-active lens with near and intermediate vision in accord with an alternate embodiment of the present invention. In this embodiment, electro-active lens 805 may be placed in front of eye 810 of the lens wearer to serve as eyeglasses, for example. Again, lens 805 may provide near, intermediate, and distance viewing to the lens wearer. This embodiment may provide blending zones 905, 910, 915 between intermediate and near vision zones, 815, 820 and the rest of electro-active lens 805. These blending zones may advantageously improve the cosmetic quality of the power zone boundaries and, optionally, provide for an optically usable power transition.

For example, blending zone 905, perhaps between 2 and 8 mm wide, may be placed above the top of intermediate zone 815. Blending zone 910, perhaps between 2 and 6 mm wide, may be placed between intermediate zone 815 and reading (or near) zone 820. And blending zone 915 may be placed at the bottom of reading zone 820. If the electro-active region of lens 805 is circular and symmetric in power about the center of lens 805, then blending zone 915 may be a duplicate of blending zones 905, 910. On the other hand, if the electro-active region of lens 805 is asymmetric about the horizontal centerline of the electro-active region, then blending zone 915 may be just a continuous transition from the reading power to the distance power at the bottom of lens 805. In this case, blending zone 915 may be as small as 1 to 2 mm or as wide as the sum of the widths of intermediate zone 815 and blending zones 905, 910 on each side of intermediate zone 815. In fact, blending zone 915 may continue all the way to the lower edge of lens 805, if desired. The power profile of lens 805 may be a continuous power profile as illustrated by the line 715 in Figure 7, for example. It may be understood that the power profiles as illustrated in Figure 7 may be achieved with a patterned electrode, a physically machined or etched diffractive pattern, or any other similar mechanism.

An electro-active lens with near and intermediate power may advantageously provide addition power and/or intermediate power when the

lens wearer needs it. For example, when the wearer is looking in the distance, the wearer may have the best possible distance correction with the widest field of view (the same high quality optics of a single vision lens). In contrast, this may not be the case for Progressive Addition Lenses (PALs).

5 With a PAL design, the problem of unwanted distortion and image jump may not only compromise the size and quality of the reading and intermediate vision zones, but may also affect the distance vision zone. This may happen because many PAL designs allow a certain amount of distortion to creep into and around the distance vision zone to reduce the magnitude of the  
10 unwanted astigmatism in the lens. Such progressives are often referred to as "soft" designs in the industry. Thus, embodiments of the present invention may eliminate such a compromise, as seen in the PAL design, by making the near and/or intermediate vision zones electro-active.

15 In an embodiment of the present invention, an electro-active lens may be controlled by a range finder for automatic control of the electro-active zone. In this embodiment, the lens wearer may have both near and intermediate vision turned on automatically when looking at a near or intermediate object, and when the wearer looks at distant objects, the electro-active zone may be  
20 automatically turn off to provide only a distance optic.

In an alternate embodiment, an electro-active lens may include a manual override to override the range finder. In this embodiment, the manual override may be activated with a switch or a button on an electro-active lens  
25 controller. By pushing the button or switch, the wearer may manually override the range finder. The wearer may then manually switch to near or intermediate vision from distance vision. Alternatively, where the range finder senses that the wearer is looking at a near or intermediate object, but the wearer wishes to view something in the distance, the wearer may push  
30 the manual override switch or button to override the range finder control and return the electro-active lens to distance power. The manual override may advantageously allow the wearer to manually adjust the electro-active lens when, for example, the wearer tries to clean a glass window and the range

finder does not detect the presence of the glass window in the near or intermediate distance.

Figure 10 is an illustration of an example cascade system of electro-active lenses in accord with an embodiment of the present invention. An embodiment of the present invention includes cascading electro-active lenses, which may provide a strategy for achieving high switching complexity by using sequential, simple switching and/or programmable elements. These cascaded lens may be used in complex optical systems, e.g., laser optics, microscopes, etc, to effectively control variable refractive indices. As such, the number of connections for controlling a complex adaptive electronic lens and the number of control lines for controlling an optical beam through the lens may be reduced, while still providing more overall complex functionality of simpler elements in the cascade. Additionally, the cascade operation may allow for better diffraction efficiency, programming flexibility, and reduction in programming complexity. So, a linear sequence of  $R$  lenses, each capable of addressing  $N$  focal points, could address as many as  $R^N$  resolvable focal points, assuming multiplicative resolution enhancement.

In Figure 10, a two-stage cascade system 1000 includes two electro-active lenses 1010, 1020 in tandem. In an example, electro-active lens 1010 may have a resolution of  $N_1$  and electro-active lens 1020 may have a resolution of  $N_2$ . So, the total resolution for cascade 1000 may be  $NR = N_1 * N_2$ , such that cascade 1000 may be a multiplicative cascade. As such, incident light 1006 may pass through the first stage of cascade 1000, i.e., electro-active lens 1010, and be resolved into rays 1016. Rays 1016 may then pass through the second stage of cascade 1000, i.e., electro-active lens 1020, and be further resolved into rays 1026.

Electro-active lenses 1010, 1020 may include concentric transparent electrodes, e.g., loops, which may be programmed to provide a voltage distribution, which in turn activates electro-active material in lenses 1010,

1020 to produce a desired phase distribution. In an example, the lenses may provide a quadratic phase distribution in the radial direction. The quadratic phase function can be seen as a linear chirp applied to a linear phase function, where a linear phase function is a simple radial grating. Due to the chirp, the linear phase function may vary "faster" towards the edge of the lens. Hence, the quadratic phase function can be simplified by interpreting it as a one-dimensional function in the radial direction with the beam "deflection strength" increasing linearly from the optical axis towards the edges of the lens. For example, concentric loop electrodes may have a density of  $L$  electrodes per millimeter within an electro-active lens of diameter  $D$  mm. To achieve high diffraction efficiency,  $m$ -phase levels may be programmed such that there may be  $m$  electrodes per cell. Since the largest bending power of the electro-active lens may be used at the edge of the lens, there may be a limit on the  $F\#$  that can be achieved for a given geometry. With  $m$ -phase levels, the period  $\Lambda$  at the edge of the lens is  $\Lambda = m(1000\mu\text{m}/L)$ . So, the corresponding  $F\# = \lambda/\Lambda$ , where  $\lambda$  is the design wavelength. Thus, by cascading electro-active lenses 710, 720, smaller  $F\#$  lenses can be achieved.

In conventional approaches to programming a cascade, there tends to be a loss in efficiency because the stages of the cascade are programmed independently. To overcome this problem, in an embodiment of the present invention, stages may be programmed jointly, using, for example, a discrete-offset-bias programming algorithm. This joint approach may advantageously eliminate any quantization error in the second stage of the cascade, thereby producing high diffraction efficiency.

Figure 11 illustrates error quantization produced by a conventional cascade, in which cascade stages are programmed independently. In this case, each element in the cascade has a quantization error, which due to the cascade operation, significantly affects the efficiency in the desired diffraction order and introduces side lobes in the higher diffraction orders, resulting in noise or blur.



Figure 12 illustrates the elimination of error quantization in a cascade in accord with the present invention, in which cascade stages may be programmed jointly. For example, a discrete-offset-bias algorithm may be used to program the electro-active lenses and optimize lens performance. The programming strategy may permit imperfect blazing on the elements of first lens 1010 in the cascade and correct any phase mismatches between different blazes by using constant phase shifts generated in second lens 1020 of the second stage. With this programming strategy, first lens 1010 may be programmed to aim incident light 1006 into the focal point of lens 1010 regardless of the error that will be introduced. This may result in an imperfect blaze in resulting rays 1016, which in turn may cause destructive interference, as well as missing the desired focal point. Second lens 1020 may then be programmed to introduce a constant phase offset to the tilted wave-front rays 1016 passed by stage 1, so that output rays 1026 from stage 2, all of the tilted wave fronts of the local beams, may be corrected in relative phase. With this form of cascade programming, the intensity of the central diffraction lobe of rays 1026 may be maximized, and no spurious noise lobes may be generated.

This programming approach may be applied to all of the electro-active lens designs described above, including a pixellated electrode pattern with addressable electrodes.

Liquid crystal alignment layers in an electro-active lens can be produced to achieve either homogeneous (planar) and homeotropic (perpendicular) alignment. In an embodiment of liquid crystal layers having homogeneous alignment, ultraviolet sensitive materials may be irradiated with linearly polarized ultraviolet light and then put through a photo-physical process to produce anisotropic surface anchoring forces. The resulting material has homogeneous alignment. One example of such a material is polyvinyl cinnamate. In an alternate embodiment, a thin polymer film may be

mechanically rubbed to homogeneously align the material. One example of this material is polyvinyl alcohol.

In an embodiment of liquid crystal layers having homeotropic alignment, exemplary materials include a common biological compound called L- $\alpha$ -Phosphatidylcholine, commonly referred to as Lecithin, and octadecyltriethoxysilane (ODSE), a material with a long hydrocarbon chain that attaches itself to the surface of the substrate in a preferential manner. These materials make the surface of the active lens substrate hydrophobic, which in turn attracts the hydrophobic end of the liquid crystal molecules, causing them to align homeotropically.

Figure 13 illustrates an embodiment of an electronic circuit that may be used to provide the drive voltage waveforms to embodiments of the electro-active lens in the present invention. In this embodiment, the electronic circuit is a "flying capacitor" circuit 1300. Flying capacitor circuit 1300 may include, for example, switches 1301-1305, capacitors 1320, 1322, and amplifier 1330. Switches 1301-1305 may be opened and closed to control the voltage applied to capacitors 1320, 1322 and amplifier 1330. As such, the phase of the output waveform from circuit 1300 may be controlled and retarded. This control phase retardation may be used to provide variable voltage to the electro-active lens. The use of flying capacitor circuit 1300 and its resulting waveforms may provide for variable peak-to-peak voltage of the output and a very small DC component to the resulting waveform. Hence, flying capacitor circuit 1300 may advantageously use control phase retardation to create a multi-focus ophthalmic lens. The resulting waveforms may be square waves, for example, or any other waveforms capable of driving the electro-active lens, depending on the application for the lens.

While various embodiments of the present invention have been presented above, other embodiments also in accordance with the same spirit and scope of the present invention are also plausible.

WHAT IS CLAIMED IS:

1. An electro-active lens comprising:  
a first electro-active cell; and  
a second electro-active cell,

5 the first and second electro-active cells being adjacent to each other  
and oriented orthogonal to each other in an unactivated state to reduce  
birefringence.

- 10 2. The electro-active lens of claim 1 wherein the first electro-active cell  
includes a first variable index material and the second electro-active cell  
includes a second variable index material, molecules of the first variable  
index material being oriented orthogonal to molecules of the second variable  
index material.

- 15 3. The electro-active lens of claim 1 wherein the first electro-active cell is  
stacked upon the second electro-active cell.

- 20 4. The electro-active lens of claim 1 further comprising:  
a first lens component having a first recess therein; and  
a second lens component having a second recess therein,  
the first and second electro-active cells being disposed between the  
25 first and second lens components within the respective first and second  
recesses.

- 30 5. The electro-active lens of claim 1 further comprising:  
a lens component having a recess therein,  
the first and second electro-active cells being disposed within the  
recess.

6. The electro-active lens of claim 1 further comprising:  
a first lens component having a first recess therein;  
a second lens component having a second recess therein; and  
5 a casing encapsulating the first and second electro-active cells, the  
casing being disposed between the first and second lens components and  
within the respective first and second recesses.

10 7. An electro-active apparatus comprising:  
an electro-active lens including  
a first electro-active cell, and  
a second electro-active cell,  
the first and second electro-active cells being adjacent to each  
15 other and oriented orthogonal to each other in an unactivated state to  
reduce birefringence; and  
a set of electrodes electrically connected to the electro-active lens to  
apply voltage to the electro-active lens.

20 8. The electro-active apparatus of claim 7 wherein the electrodes apply  
different voltages to different regions of the electro-active lens.

25 9. The electro-active apparatus of claim 7 wherein the index of refraction  
of the electro-active lens varies with the magnitude of the applied voltage.

10. The electro-active apparatus of claim 7 wherein the electrodes form  
30 concentric loops.

11. The electro-active apparatus of claim 7 wherein the electrodes form an array of pixelated regions.

5 12. The electro-active apparatus of claim 7 further comprising:  
a power source electrically connected to the electrodes to supply the applied voltage.

10 13. A method for reducing birefringence in a lens, comprising:  
providing a first electro-active cell of the lens;  
providing a second electro-active cell of the lens; and  
orienting the first and second electro-active cells orthogonal to each other in an unactivated state to reduce birefringence.

15  
14. The method of claim 13 further comprising:  
applying a voltage to the first and second electro-active cells to change the index of refraction of the lens.

20  
15. The method of claim 13 further comprising:  
applying different voltages to different regions of the first and second electro-active cells to produce different indices of refraction in the lens.

25  
16. An electro-active apparatus comprising:  
an electro-active lens;  
a set of electrodes electrically connected to the electro-active lens to  
30 apply voltage to the electro-active lens; and  
a circuit to supply the voltage to the set of electrodes, the circuit using control phase retardation in the supplied voltage to create multi-focus in the electro-active lens.

17. The electro-active apparatus of claim 16, wherein the circuit is a flying capacitor circuit.

5

18. The electro-active apparatus of claim 16, wherein the electrodes apply different voltages to different regions of the electro-active lens, resulting in the multi-focus.

10

19. A method for creating a multi-focus ophthalmic lens, comprising:  
providing an electro-active lens;  
applying voltage to the electro-active lens through a set of electrodes  
15 connected to the electro-active lens; and  
using control phase retardation in the applied voltage to create the multi-focus ophthalmic lens.

20. The method of claim 19, wherein the control phase retardation is provided by a flying capacitor circuit.

25

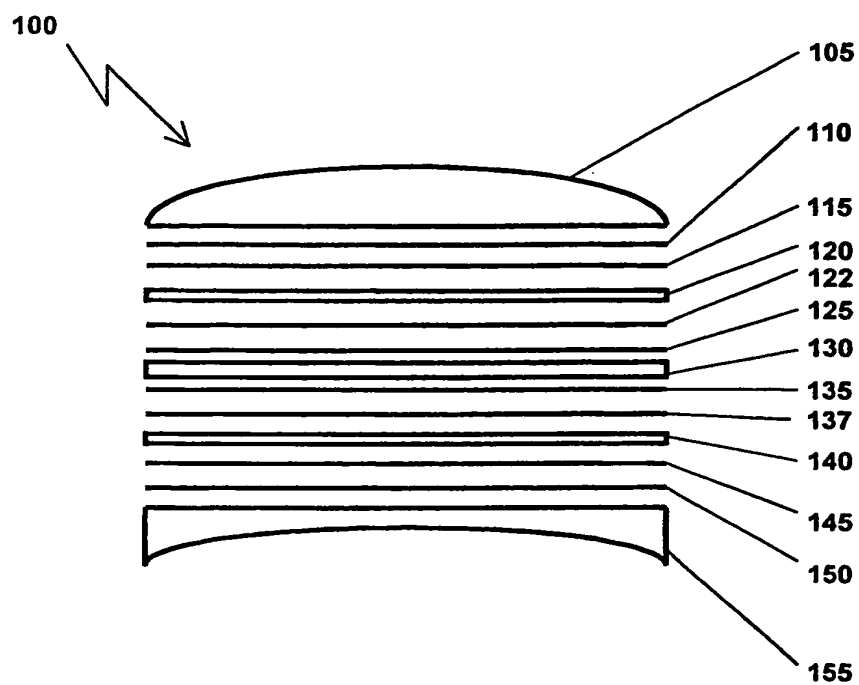


FIG. 1

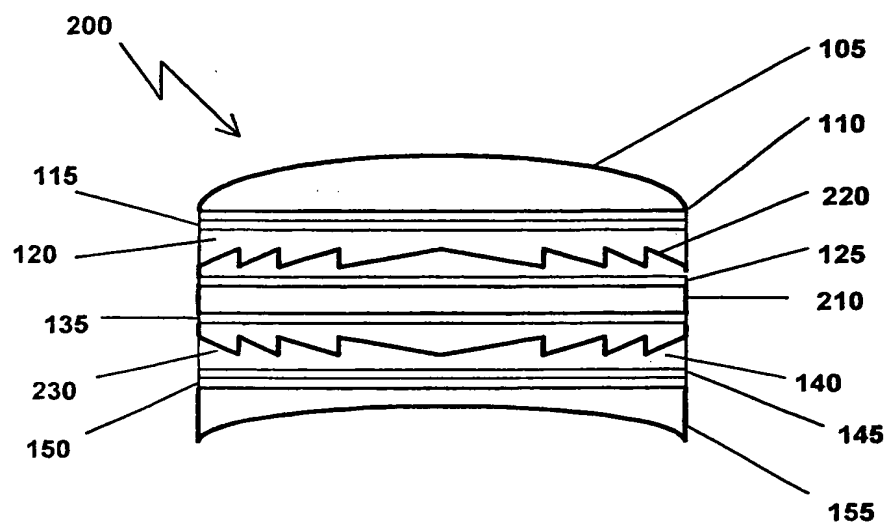


FIG. 2



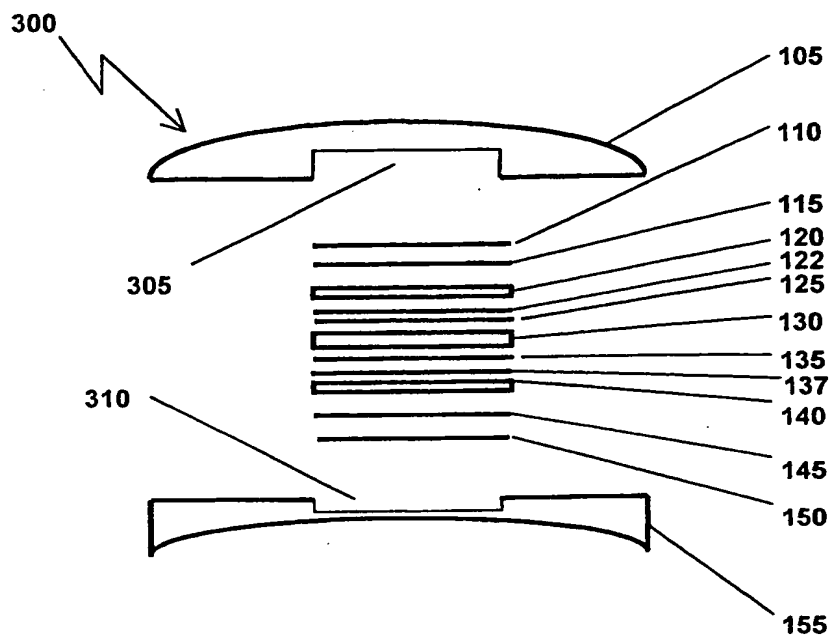


FIG. 3

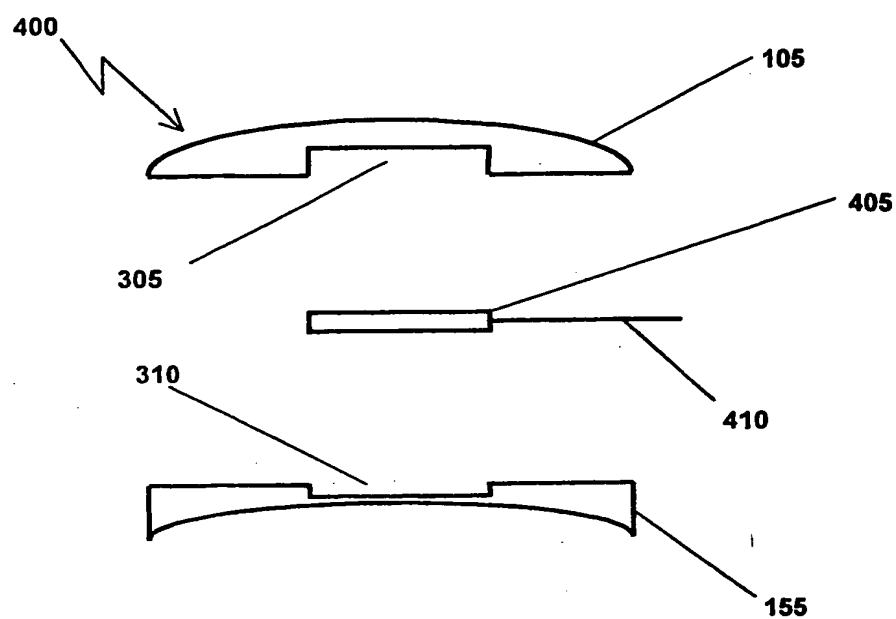


FIG. 4

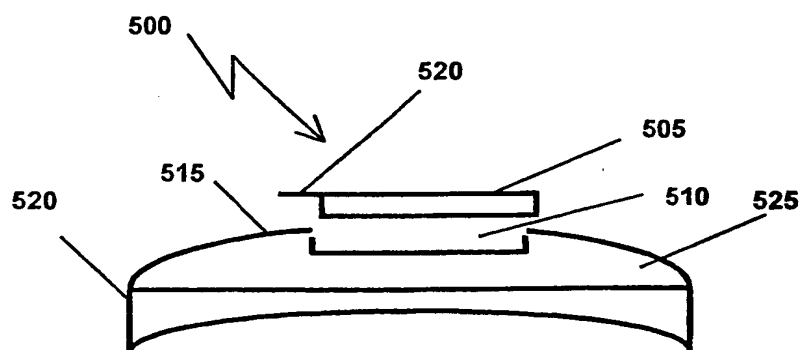


FIG. 5

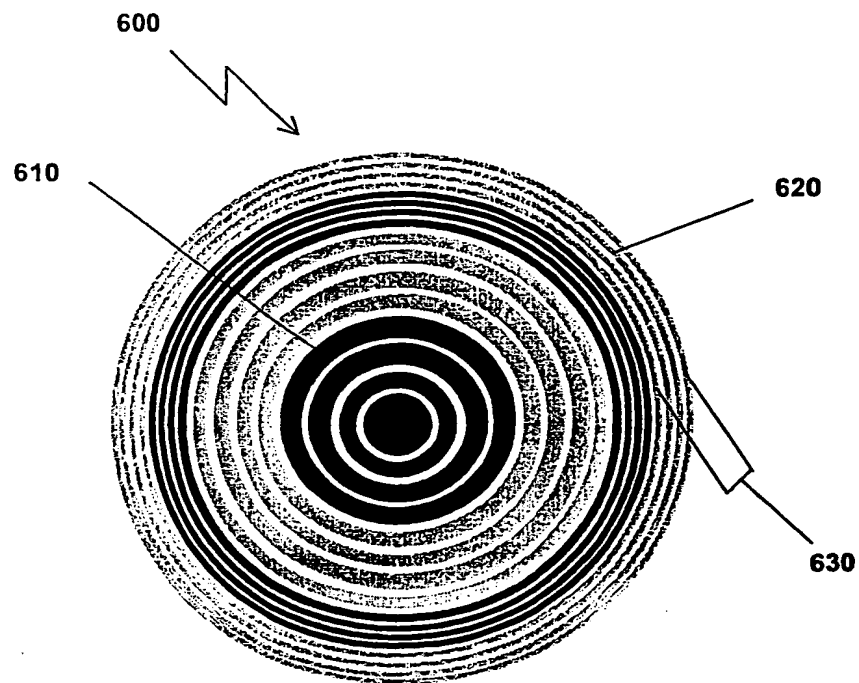


FIG. 6

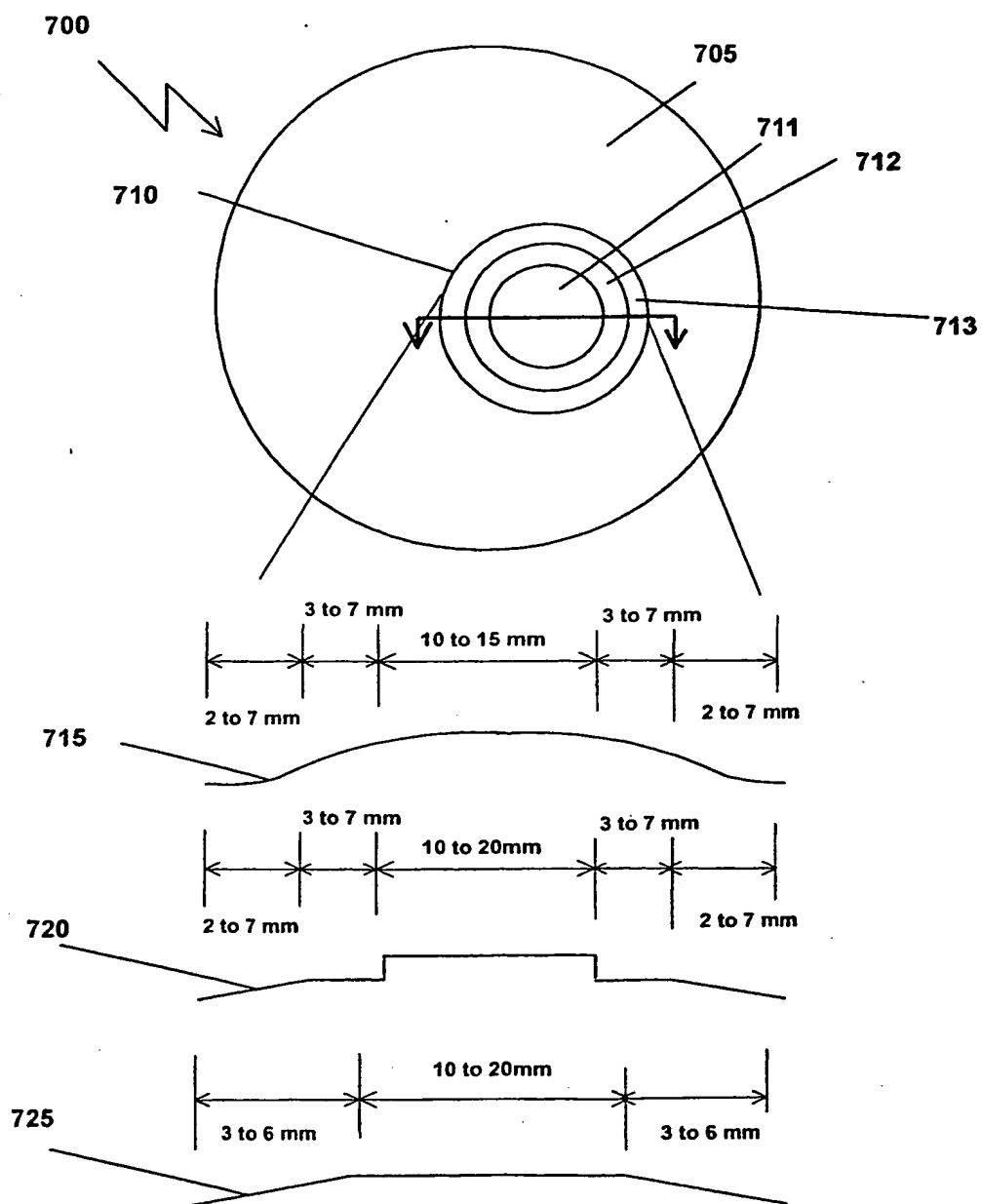


FIG. 7

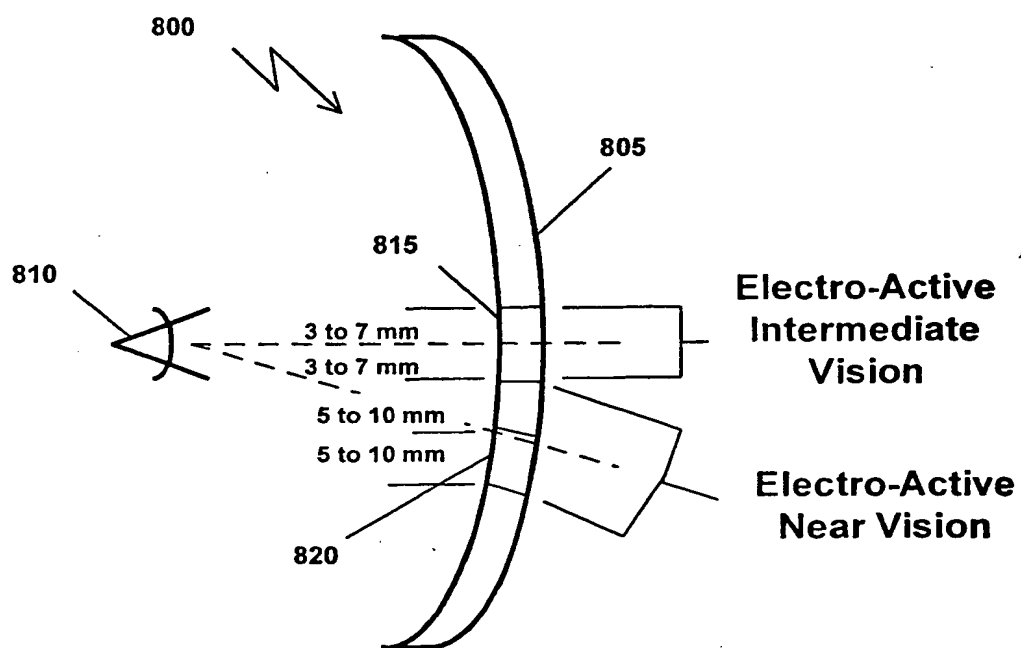


FIG. 8

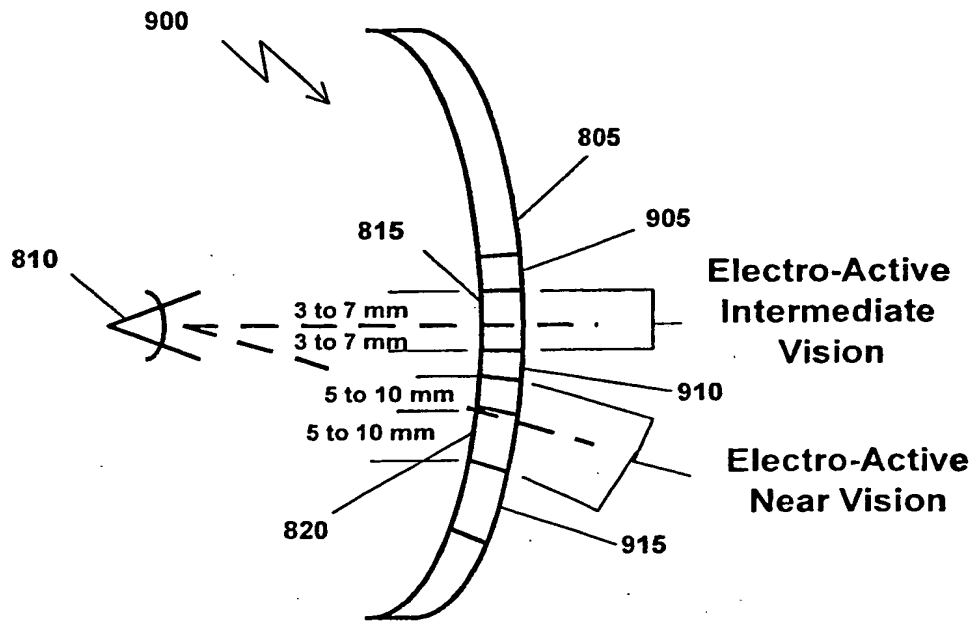


FIG. 9

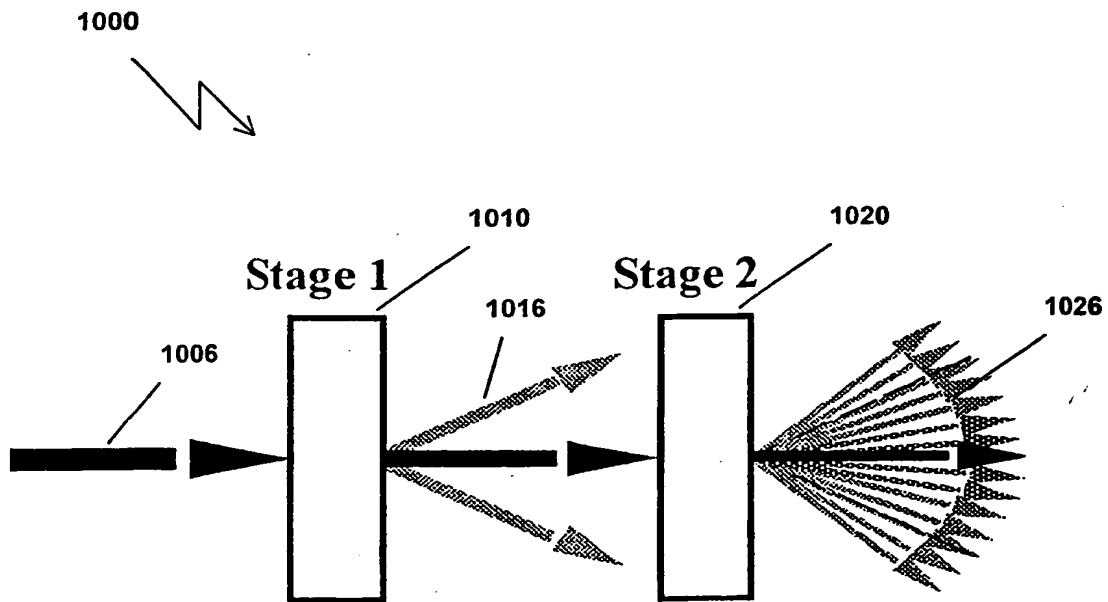


FIG. 10



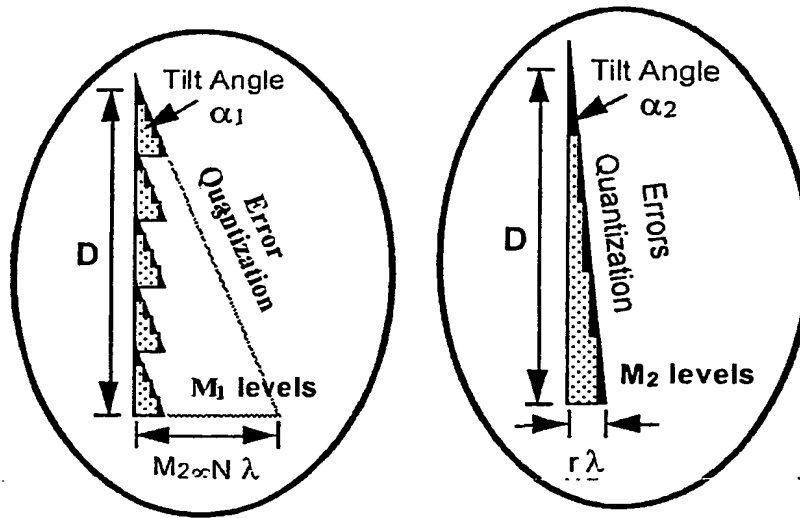


FIG. 11

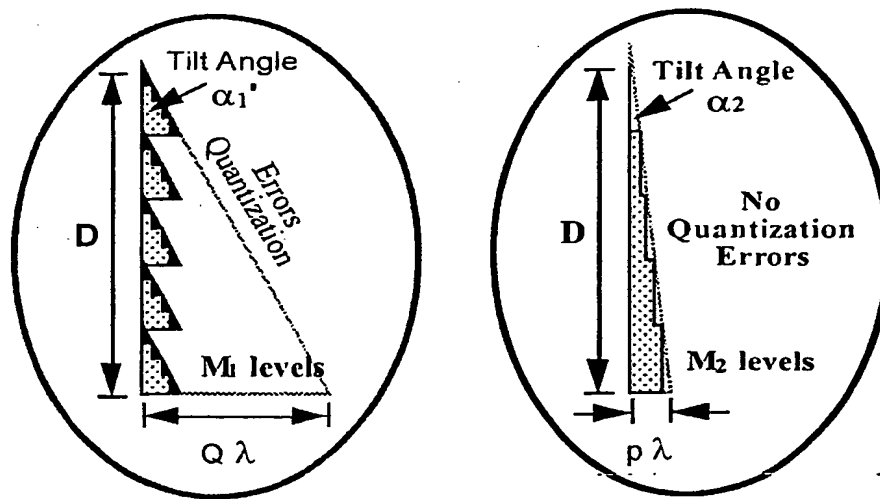


FIG. 12

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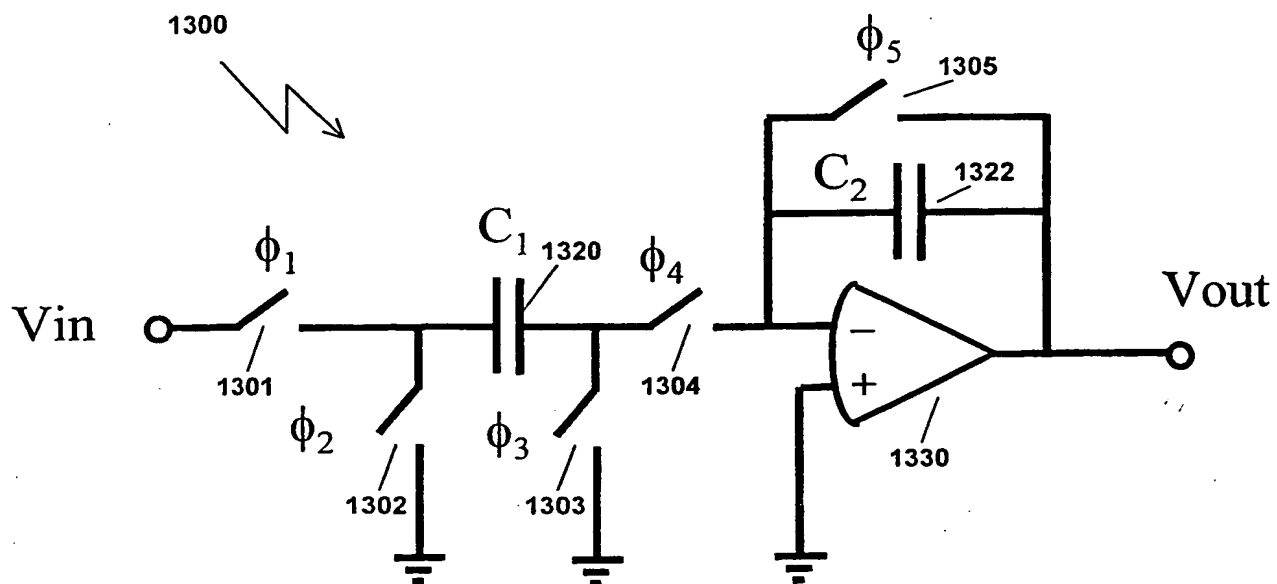


FIG. 13

## INTERNATIONAL SEARCH REPORT

Int'l Application No  
PCT/US 02/31795

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 G02F1/1347 G02F1/139 G02F1/29 G02C7/10 G02C7/08

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 G02F G02C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4 795 248 A (NISHIOKA KIMIHIKO ET AL) 3 January 1989 (1989-01-03)	1-4, 7-10, 12-16, 18
Y	column 3, line 12 -column 4, line 34; figure 3 column 13, line 64 -column 14, line 4; figures 17, 18	5, 6, 11
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☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

## \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

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- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
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- \* & \* document member of the same patent family

Date of the actual completion of the international search

14 January 2003

Date of mailing of the international search report

22/01/2003

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Noirard, P

## INTERNATIONAL SEARCH REPORT

Inter ☐ International Application No

PCT/US 02/31795

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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Y	column 3, line 44 -column 4, line 24 column 5, line 36-55; figures 5,6C ----	6
X	US 5 359 444 A (PIOSENKA GERALD V ET AL) 25 October 1994 (1994-10-25)	16,18
Y	column 4, line 34 -column 5, line 9; figures 9,10 ----	11
Y	US 5 712 721 A (LARGE TIMOTHY ANDREW) 27 January 1998 (1998-01-27) figure 4 -----	5

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US 02/31795

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☒ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-15

An electro-active lens having first and second electro-active cells stacked upon each other and oriented orthogonal to each other.

The problem underlying the first invention is related to an electro-active lens having reduced birefringence.

2. Claims: 16-20

An electro-active apparatus having an electro-active lens and a circuit + electrodes adapted to create multi-focus in the electro-active lens.

The problem underlying the second invention is related to an electro-active lens having multifocus properties.

## INTERNATIONAL SEARCH REPORT

Information on patent family members

Inte: ... al Application No

PCT/US 02/31795

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